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PTO/SB/05 (03-01)

Approved for use through 10/31/2002. OMB 0651-0032
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UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No. 03504.115-CON

First Inventor OFFORD, Robin E.

Title Polypeptide and Protein Derivatives and

Process for their Preparation

U.S. PTO
2238
10/6/03

093003

APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

ADDRESS TO: Assistant Commissioner for Patents
Box Patent Application
Washington, DC 20231

1. ☒ Fee Transmittal Form (e.g., PTO/SB/17)
(Submit an original and a duplicate for fee processing)
2. ☐ Applicant claims small entity status.
See 37 CFR 1.27.
3. ☒ Specification [Total Pages 80]
(preferred arrangement set forth below)
 - Descriptive title of the invention
 - Cross Reference to Related Applications
 - Statement Regarding Fed sponsored R & D
 - Reference to sequence listing, a table, or a computer program listing appendix
 - Background of the Invention
 - Brief Summary of the Invention
 - Brief Description of the Drawings (if filed)
 - Detailed Description
 - Claim(s)
 - Abstract of the Disclosure
4. ☐ Drawing(s) (35 U.S.C. 113) [Total Sheets]
5. Oath or Declaration [Total Pages]
 - a. ☐ Newly executed (original or copy)
 - b. ☒ Copy from a prior application (37 CFR 1.63 (d))
(for continuation/divisional with Box 18 completed)
 - i. ☐ **DELETION OF INVENTOR(S)**
Signed statement attached deleting inventor(s)
named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).
6. ☐ Application Data Sheet. See 37 CFR 1.76

7. ☐ CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)
8. Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)
 - a. ☐ Computer Readable Form (CRF)
 - b. Specification Sequence Listing on:
 - i. ☐ CD-ROM or CD-R (2 copies); or
 - ii. ☐ paper
 - c. ☐ Statements verifying identity of above copies

ACCOMPANYING APPLICATION PARTS

9. ☒ Assignment Papers (cover sheet & document(s))
10. ☒ 37 CFR 3.73(b) Statement (when there is an assignee) ☒ Power of Attorney
11. ☐ English Translation Document (if applicable)
12. ☒ Information Disclosure Statement (IDS)/PTO-1449 ☐ Copies of IDS Citations
13. ☒ Preliminary Amendment
14. ☒ Return Receipt Postcard (MPEP 503)
(Should be specifically itemized)
15. ☐ Certified Copy of Priority Document(s)
(if foreign priority is claimed)
16. ☐ Nonpublication Request under 35 U.S.C. 122 (b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent.
17. ☒ Other: Transmittal Sheet

18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:

☒ Continuation ☐ Divisional ☐ Continuation-in-part (CIP)

of prior application No. 08/241,687

Prior application information:

Examiner Ponnaluri, P.

Group Art Unit: 1627

For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

19. CORRESPONDENCE ADDRESS

☒ Customer Number or Bar Code Label

(Customer No.



or



Correspondence address below

Name

28410

PATENT, TRADEMARK OFFICE

Address

City

State

Zip Code

Country

Telephone

Fax

Name (Print/Type)

Jeffrey I. Auerbach

Registration No. (Attorney/Agent)

32,680

Signature

Date

9/30/2003

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Box Patent Application, Washington, DC 20231.

Fee Transmittal for FY 2003

Application No: **To be Assigned**
 Filing Date: **Herewith**
 First Named Inventor: **OFFORD, R.E.**
 Examiner Name: **To be Assigned.**
 Group Art Unit: **To be Assigned.**
 Attorney Docket No.: **03504-115-CON**
GRFN 004/01-US-CON

Total Amount of Payment **\$ 830.00**

Method of Payment

- ☒ The Commissioner is hereby authorized to charge to Deposit Account No. 50-0548 [Account Name: Liniak, Berenato, Longacre & White, LLC]:
 - ☐ all indicated fees;
 - ☒ any additional fee required under 37 CFR 1.16 and 1.17;
 - ☐ Applicant claims SMALL ENTITY Status
 - ☒ Applicant is a LARGE ENTITY for this Application
- ☒ Payment Enclosed
☒ Check No. _____

Fee Calculation

1. Basic Filing Fee

Large Fee Code	Entity Fee	Small Fee Code	Entity Fee	Fee Description	Fee Paid
101	750	201	375	Utility Filing Fee	\$ 750
106	320	206	160	Design Filing Fee	
107	490	207	245	Plant Filing Fee	
108	710	208	355	Reissue Filing Fee	
114	150	214	75	Provisional Filing Fee	
SUBTOTAL (1)					\$ 750

2. Extra Claim Fee

Total Claims	20	- 20 or - no. previously paid = <u>91</u>	Extra Claims	0	Fee	\$18	Fee Paid	\$0
Indep. Claims	1	- 3 or - no. previously paid = <u>5</u>	Extra Claims	0	Fee	\$84	Fee Paid	\$0
Mult. Dependent <input type="checkbox"/> Fee Previously paid <input type="checkbox"/> Fee Due \$280 or \$140								
Large Fee Code	Entity Fee (\$)	Small Fee Code	Entity Fee (\$)	Fee Description				
103	18	203	9	Claims > 20				
102	84	202	42	Indep. Claims > 3				
104	280	204	140	Mult. Dep. Claim				
SUBTOTAL (2)					\$ 0			

Fee Calculation (continued)

Additional Fees

Fee Code	Large Entity Fee	Fee Code	Small Entity Fee	Fee Description	Fee Paid
105	130	205	65	Surcharge late filing fee or oath	
127	50	227	25	Surcharge late prov. filing fee	
139	130	139	130	Non-English Specification	
147	2,520	147	2,520	Request for Reexamination	
112	920	112	920	Publ. of SIR prior to Exmr. action	
113	1,840	113	1,840	Publication of SIR after to Exmr. action	
115	110	215	55	Extension for Reply 1 Month	
116	400	216	200	Extension for Reply 2 Months	
117	920	217	460	Extension for Reply 3 Months	
118	1,440	218	720	Extension for Reply 4 Months	
128	1,960	228	980	Extension for Reply 5 Months	
119	320	219	160	Notice of Appeal	
120	310	220	155	Filing a Brief in support of Appeal	
121	270	221	135	Request for oral hearing	
138	1,510	138	1,510	Initiate Public Use Proceeding	
140	110	240	55	Petition to Revive Unavoidable	
141	1,280	241	640	Petition to Revive Unintentional	
142	1,240	242	620	Utility Issue Fee	
143	440	243	220	Design Issue Fee	
144	600	244	300	Plant Issue Fee	
122	130	122	130	Petitions to the Commissioner	
123	50	123	50	Processing Fee (37 CFR 1.17(q))	
126	180	126	180	Submission of IDS	
581	40	581	40	Assignment Recordation	\$ 80
146	710	246	355	1.129(a) Submission	
149	710	249	355	Add'l inventions to be examined	
1401	320	2401	160	Notice Of Appeal	
169	900	169	900	Expedited Exam. Design appln.	

Other Fee Statutory Disclaimer Fee

SUBTOTAL (3) \$ 80

Submitted By: **Jeffrey I. Auerbach** Reg. No. 32,680
 Signature: *[Signature]*

Telephone: **(301) 896-0600**

Date: **9/30/2003**

09/30/03
 16519 U.S. PTO

In the United States Patent & Trademark Office

Transmittal of New Non-Provisional Utility Patent Application

Honorable Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Dear Sir:

Enclosed is a new Non-Provisional Utility Patent Application of Inventors:

Name:	City/Country
OFFORD , Robin E.	Geneva, Switzerland
ROSE , Keith	Geneva, Switzerland

Entitled: **Polypeptide and Protein Derivatives and Process for
Their Preparation**

The application is a continuation of United States Patent Application Serial No.
08/241,687, filed May 12, 1994.

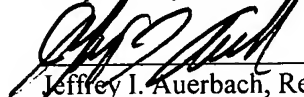
The application consists of: **80** numbered pages of Specification.

Also enclosed are:

1. Utility Patent Application Transmittal Form;
2. Copy of Declaration of the Inventors;
3. Assignment Documents and Assignment Recordation Cover Sheets;
4. Power of Attorney of Assignee;
5. Information Disclosure Statement and PTO Form 1449;
6. Preliminary Amendment
7. Fee Transmittal Sheet; and
8. Two (2) Return Receipt Postcards.

Date: 9/30/2003
Liniak, Berenato & White, LLC
6550 Rock Spring Drive, Suite 240
Bethesda, MD 20817
Tel: (301) 896-0600 / Fax (301) 896-0607

Respectfully Submitted,


Jeffrey I. Auerbach, Reg. No. 32,680
Attorney for Assignee